

adjusting  $\mu_j$ ,  $\lambda_j$  and  $Z(0)$ ,  $Z(1)$ :

$$\begin{aligned}
 E_R[\lambda_i, \mu_i, Z(0), Z(1)] &= \sum_{i=1}^M \left[ \frac{\sin(\sqrt{\lambda_i})}{\sqrt{\lambda_i}} \prod_{j=1}^M \frac{\mu_j - \lambda_i}{Q_j - \lambda_i} \right. \\
 &\quad \left. - (-1)^{i+1} \sqrt{\frac{Z_g Z_\ell}{Z(0)Z(1)}} \frac{g(\lambda_i)}{\sqrt{\lambda_i}} \right]^2 \\
 &+ \sum_{i=1}^M \left[ \cos(\sqrt{\mu_i}) \prod_{j=1}^M \frac{\lambda_j - \mu_i}{P_j - \mu_i} - (-1)^i \sqrt{\frac{Z_g Z(1)}{Z(0)Z_\ell}} g(\mu_i) \right]^2 \\
 &+ \left[ \prod_{j=1}^M \frac{\lambda_j}{P_j} - \sqrt{\frac{Z(1)}{Z(0)}} \right]^2. \quad (14)
 \end{aligned}$$

The resultant  $\mu_j$  and  $\lambda_j$  are used to generate Cauchy data, while the resultant  $Z(0)$  and  $Z(1)$  are used as boundary data to calculate  $Z(x)$  from  $q(x)$ . The successive approximation method described in [8] failed to recover  $q(x)$  from the Cauchy data. As is shown in Fig. 2(a),  $q(x)$  diverges after two iterations. However, we can use the proposed method to calculate  $q(x)$  without difficulty. For reference, Fig. 2(b) and (c) shows the related characteristic impedance and simulated  $|S_{11}(j\omega)|$  of the NTL filter, respectively.

#### IV. CONCLUSIONS

When applied to constructing  $q(x)$  from Cauchy data, the successive approximation method suffers from two disadvantages, i.e., several iterations are needed and they sometimes fail when the corresponding  $q(x)$  is large and oscillates rapidly. In the present algorithm,  $q(x)$  can be recovered straightforwardly from Cauchy data by using the exact data of  $q(x)$  at  $x = \ell$  and adopting a proper discrete form of the related integral equation; hence, the above disadvantages are avoided. The numerical error of the proposed method mainly depends upon sampling spacing  $\Delta$ .

#### REFERENCES

- [1] D. C. Youla, "Analysis and synthesis of arbitrarily terminated lossless nonuniform lines," *IEEE Trans. Circuit Theory*, vol. CT-11, pp. 363–372, Sept. 1964.
- [2] F. Huang, "Quasitransversal synthesis of microwave chirped filter," *Electron. Lett.*, vol. 28, pp. 1062–1064, May 1992.
- [3] G. H. Song and S. Y. Shin, "Design of corrugated waveguide filters by the Gelfand–Levitan–Marchenko inverse scattering method," *J. Opt. Soc. Amer. A, Opt. Image Sci.*, vol. 2, no. 11, pp. 1905–1915, 1985.
- [4] P. P. Roberts and G. E. Town, "Design of microwave filters by inverse scattering," *IEEE Trans. Microwave Theory Tech.*, vol. 43, pp. 739–743, Apr. 1995.
- [5] G. B. Xiao, K. Yashiro, N. Guan, and S. Ohkawa, "A new numerical method for synthesis of arbitrarily terminated lossless nonuniform transmission lines," *IEEE Microwave Theory Tech.*, vol. 49, pp. 369–376, Feb. 2001.
- [6] —, "An effective method for designing nonuniformly coupled transmission line filters," *IEEE Trans. Microwave Theory Tech.*, vol. 49, pp. 1027–1032, June 2001.
- [7] G. B. Xiao and K. Yashiro, "Impedance matching for complex loads through nonuniform transmission lines," *IEEE Trans. Microwave Theory Tech.*, vol. 50, pp. 1520–1525, June 2002.
- [8] W. Rundell and P. E. Sacks, "Reconstruction techniques for classical inverse Sturm–Liouville problems," *Math. Comput.*, vol. 58, pp. 161–183, Jan. 1992.
- [9] B. M. Levitan and M. G. Gasymov, "Determination of a differential equation by two of its spectra," *Russ. Math. Surveys*, vol. 19, pp. 1–63, Mar.–Apr. 1964.
- [10] V. A. Marchenko, "Sturm–Liouville operators and applications," in *Operator Theory: Advances and Applications*. Cambridge, MA: Birkhäuser, 1986.

## The Reentrant Wide-Band Directional Filter

Anatoly Petrovich Gorbachev

**Abstract**—A new type of a wide-band microwave filter is described and named the reentrant directional filter, in which resonance occurs in the form of a traveling wave rather than in the conventional form of a standing wave. This device is the network, which has the constant input impedance and is manufactured as the directional coupler's free construction. An analysis of the reentrant directional filter shows it to have advantages in the case of wide-bands when compared to previously used directional filters. This filter finds application in multiplexers, as well as in matched bandpass (band-stop) filters by using planar multilayer transmission-line technology. Experimental results verify the theoretical approach.

**Index Terms**—Directional filters, microwaves, multilayer, strip-line components, wide-band.

#### I. INTRODUCTION

It is well known [1] that directional filters are one type of device capable of performing systems that use frequency-division multiplexing. For example, wide-band multiplexers have been analyzed and constructed as described in [2].

The basic directional filter proposed in [3] has been developed in many papers [4]–[6]. However, the above-mentioned directional filters are themselves badly adapted to multilayer (multilevel)-strip transmission-line technology, which has been an increased interest in recent years in RF integrated circuits. For instance, the analysis and design of multilayer coupled-line directional couplers has recently been reported in [7].

This paper presents a novel wide-band directional filter called a "reentrant directional filter," which is better adapted itself to multilayer planar transmission-line technology through the full screening of its fragments.

#### II. ANALYSIS

To better understand the above-mentioned directional filter, it should be analyzed on a base of a strip-coaxial model, although practical devices remain to be constructed in multilayer-strip transmission-line realization.

Fig. 1 shows the new reentrant directional filter (patent pending). Conductors A–D are coaxial-line center conductors of characteristic impedance  $Z_B$  and electrical length  $\theta_B$  with relative dielectric constant  $\epsilon_{rB}$  of the coaxial-line medium (brief expression—"transmission line ( $Z_B, \theta_B$ )") within the loop  $L$  of electrical length  $4\theta_N$ . The loop  $L$  is formed from the center conductor of the transmission line of characteristic impedance  $Z_N$  with corresponding dimensions  $w_a, w_B, t$ , and  $b$  and relative dielectric constant  $\epsilon_{rN}$  of the directional filter medium within ground conductor  $G$ . The arms of the conductors A–D are connected together by a narrow conducting link. The link is designed to be narrow so that there will be no propagation around the end of the center conductors, but rather, that the even and odd excitation will be terminated by open and short circuits, respectively. Distance  $a$  is chosen to avoid electromagnetic coupling between opposite edges of loop conductor  $L$ . The external arms of the conductors A–D are directional filter terminal ports 1–4, respectively.

Manuscript received October 30, 2000.

The author is with the Department of Radiophysics, Novosibirsk State Technical University, Novosibirsk 630092, Russia.

Publisher Item Identifier 10.1109/TMTT.2002.801384.

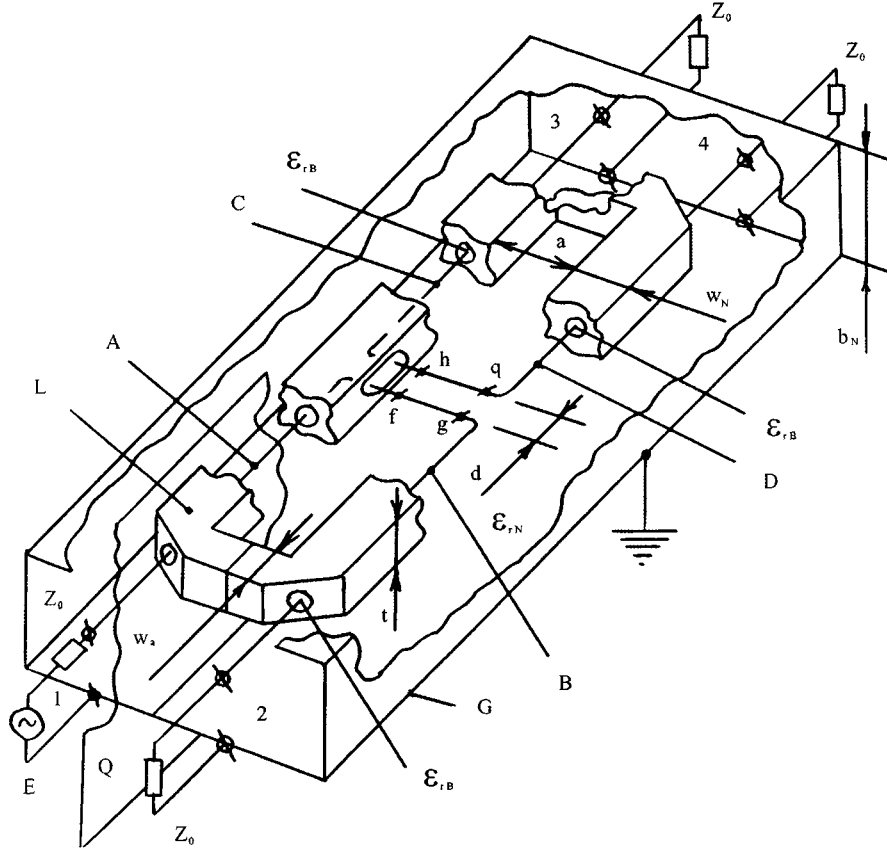


Fig. 1. View of reentrant directional filter.

The method of analysis used here follows the conventional approach developed by Reed and Wheeler [8] and has been adopted for the directional filter, whereby the total voltages and currents on the structure are obtained by applying the principal of superposition of the even- and odd-mode (excitation) solutions. The even-mode solution occurs when the structure is bisected through its vertical plane of symmetry  $Q$  by a magnetic wall, while the odd-mode solution occurs when the bisecting plane is an electric wall. Therefore, the configuration of the structure in Fig. 1 can be reduced to two equivalent even- and odd-mode circuits leading to the corresponding scattering matrices  $[S_e]$  and  $[S_o]$

$$[S_e] = \begin{bmatrix} S_{11e} & S_{12e} \\ S_{12e} & S_{11e} \end{bmatrix} \quad [S_o] = \begin{bmatrix} S_{11o} & S_{12o} \\ S_{12o} & S_{11o} \end{bmatrix}. \quad (1)$$

These matrices are defined in a widely used manner by using the general definition for scattering parameters [9] of the four-port circuits with the reentrant cross sections [10]. In this way, the overall scattering matrix  $[S]$  for the symmetrical directional filter may be written as

$$[S] = \begin{bmatrix} S_{11} & S_{12} & S_{13} & S_{14} \\ S_{12} & S_{11} & S_{14} & S_{13} \\ S_{13} & S_{14} & S_{11} & S_{12} \\ S_{14} & S_{13} & S_{12} & S_{11} \end{bmatrix} \quad (2)$$

$$S_{11} = (S_{11e} + S_{11o})/2$$

$$S_{12} = (S_{11e} - S_{11o})/2$$

$$S_{13} = (S_{12e} + S_{12o})/2$$

$$S_{14} = (S_{12e} - S_{12o})/2.$$

To calculate the frequency sensitivity of the device, the junctions are still assumed to be pure shunt or series connections. The frequency-

dependent electrical lengths  $\theta_B$  and  $\theta_N$  in the matrices are expressed in terms of normalized frequencies  $f/f_{0B}$  and  $f/f_{0N}$ , respectively, as follows:

$$\theta_B = \left(\frac{\pi}{2}\right) \left(\frac{f}{f_{0B}}\right) \quad \theta_N = \left(\frac{\pi}{2}\right) \left(\frac{f}{f_{0N}}\right). \quad (3)$$

The values  $f_{0B}$  and  $f_{0N}$  are the distinctive frequencies of the corresponding fragments, i.e., if  $f = f_{0B}$  ( $f = f_{0N}$ ), then  $\theta_B = 90^\circ$  ( $\theta_N = 90^\circ$ ). In general,  $\theta_B \neq \theta_N$  because of the possible differences of mediums ( $\epsilon_{rB} \neq \epsilon_{rN}$ ) or physical lengths. However, the difference of distinctive frequencies can be equal to zero ( $f_{0B} = f_{0N}$ ) by subsequently choosing the appropriate mediums and/or physical lengths.

When four terminal ports of the structure illustrated in Fig. 1 are terminated in the proper constant resistance, the device is like a directional filter with constant input impedance ( $S_{11} = 0$ ) and infinite directivity ( $S_{14} = 0$ ) at all frequencies. In this filter, energy is transferred backward instead of forward. Hence, if a signal is fed into the first terminal port, part of that signal will emerge at terminal port 2 and the remainder at third terminal port. Furthermore, this energy division is frequency selective with no signal emergence from the diagonally opposite fourth terminal port.

The characteristics of this reentrant directional filter can be determined rigorously from the scattering matrix (2) by varying in magnitude the characteristic impedance  $Z_B$  and  $Z_N$  with those prescribed by the terminal transmission lines  $Z_0$ , which are bound to be equal to the constant resistance. The parameter-optimization problem was solved by means of one of the numerical methods of nonlinear programming [11]. The corresponding goal function is

$$F(\theta) = \sum_{i=1}^N \left[ |S_{11}(\theta_i)| + |S_{14}(\theta_i)| \right]. \quad (4)$$

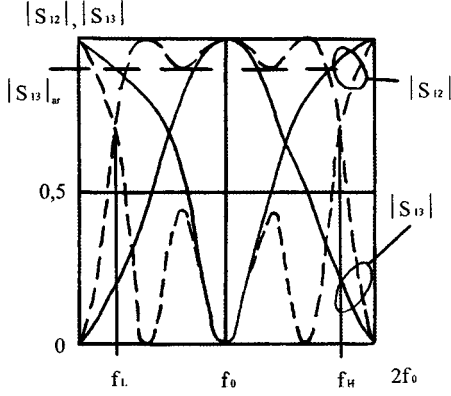


Fig. 2. Computed responses of the reentrant directional filters.

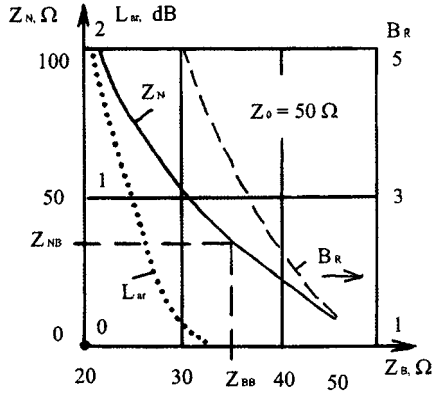


Fig. 3. Complete results of an optimization process of the reentrant directional filters.

Here,  $\theta_i = \theta_{Bi} = \theta_{Ni} = (\pi/2)(f_i/f_0)$ ,  $f_0$  is the center frequency of a frequency range  $f_0 = f_{0B} = f_{0N}$ . The frequencies  $f_1, \dots, f_{(N-1)/2}$  are placed on the left-hand side of  $f_0$ . The frequency  $f_{(N+1)/2}$  is equal to the center frequency. The following frequencies  $f_i$  are placed on right-hand side of  $f_0$ , where  $N$  is odd. The frequency steps  $\delta_f = f_{i+1} - f_i$  are independent with a number  $i$  and are chosen in the  $(0.01, \dots, 0.0001)f_0$  range.

If the goal function (4) reduces to a minimum with the frequency step  $\delta_f = 0.01f_0$  and  $N = 41$ , then the optimum value of the impedance  $Z_B$  and  $Z_N$  are determined. The characteristic impedance  $Z_0$  is equal to  $50 \Omega$ . For all, the electrical lengths  $\theta_a = 2\pi a/\lambda_0^\epsilon$  and  $\theta_d = 2\pi d/\lambda_0^\epsilon$  ( $\lambda_0^\epsilon = 2.9979 \cdot 10^8 / (f_0 \sqrt{\epsilon_{rN}})$ ) are negligible, in respect to those for coaxial line center and loop conductors. For example, they are

$$\theta_a = \theta_N / (10, \dots, 15) \quad \theta_d = \theta_N / (12, \dots, 17). \quad (5)$$

Thus, the optimum impedances  $Z_B$  and  $Z_N$  may be defined, which provide the directional filter's usual frequency responses. For instance, Fig. 2 shows the responses of two versions of these filters. The maximally flat version according to  $Z_B = 40 \Omega$  and  $Z_N = 22.5 \Omega$  is depicted by a solid line and the other corresponding to  $Z_B = 25 \Omega$  and  $Z_N = 75 \Omega$  is depicted by a dashed line. It may be seen that it is possible for the filters to perform with an equal-ripple response, while the familiar filters [3], [4], [6] with one loop conductor cannot be shaped from that. A border between the equal-ripple and maximally flat responses is the numerical solved impedance to be equal to

$$Z_{BB} = Z_{NB} = Z_0/\sqrt{2}. \quad (6)$$

The results of this optimization process are presented in Fig. 3 as the functions of impedance  $Z_B$ . Here,  $Z_N$  is a solid line, which mapped

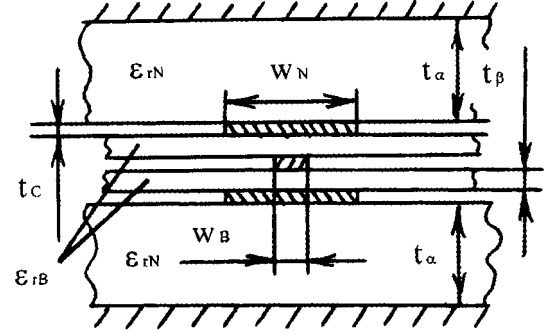


Fig. 4. Four-layer reentrant directional filter.

the loop conductor impedance. The value  $B_R$  is a dashed line denoted by the bandwidth ratio of a bandpass channel  $1 \leftrightarrow 3$  by means of  $1/\sqrt{2}$  (3 dB):  $B_R = f_H/f_L$  (see Fig. 2). The attenuation  $L_{Ar} = 10 \lg(1/|S_{13}|_{Ar}^2)$  is a dotted line related to the ripple mean in a pass-band when the impedance  $Z_B$  is chosen less than  $Z_{BB}$ . Since the directional filter is symmetric with respect to two planes, it is evident that the two outputs at ports 2 and 3 differ in phase by  $90^\circ$  at all frequencies. Thus,  $\varphi_{13} - \varphi_{12} = 90^\circ$ ,  $\varphi_{12} = \arg(S_{12})$ ,  $\varphi_{13} = \arg(S_{13})$ .

### III. GENERAL DESIGN PROCEDURE AND EXPERIMENTAL RESULTS

In order to demonstrate the principles, the 66% bandwidth directional filter with a maximally flat response over an octave frequency range was synthesized. The center frequency is 1.5 GHz corresponding to  $\theta_B = \theta_N = 90^\circ$ . From Fig. 3, for  $B_R$  equal to two, we have

$$Z_B = 41 \Omega \quad Z_N = 20 \Omega. \quad (7)$$

The printed-circuit version of this directional filter is manufactured in a four-layer Teflon strip-line technique with a commercially available thin-core copper-clad center boards made from Russian dielectric material "Ф4 МБСФ-2" and with ground-plane boards made from Russian dielectric material "ФАФ-4" unclad on one side and copper clad on the other. The thickness  $t_\beta$  and  $t_\alpha$  of these boards are 0.27 and 1.5 mm, respectively. The thickness  $t_C$  of the copper peel is equal to 0.02 mm. The hose was Teflon because of its very low dissipation factor and small dielectric-constant variation, i.e.,  $\epsilon_{rB} = \epsilon_{rN} = 2.5$ .

The dimensions of the lines are designed to produce the required values  $Z_B$  and  $Z_N$  according to (7). The impedance  $Z_N$  is determined from the impedance of a metallic bar of width  $w_N$  and height  $t_N = 2t_\beta + 3t_C = 0.6$  mm placed within a ground-plane spacing  $b_N = 2t_\alpha + t_N = 3.6$  mm, as shown in Fig. 4. The value  $Z_B$  is obtained from the impedance of a strip of thickness  $t_C$  and width  $w_B$  placed within a ground-plane spacing  $b_B = 2t_\beta + t_C = 0.56$  mm. To determine the strip-line widths, the formulas in [1] served for calculation; thus, we have  $w_B = 0.53$  mm and  $w_N = 6.65$  mm.

In Fig. 5, in this version of the filter, two thin-core center boards of 1.5-mm thickness  $G_1$  and  $G_2$  are used, and are placed after following a reciprocal combination between the ground-plane boards. The center conductors A–D (0.53-mm width) have been etched on one side of the board  $G_1$ . On the other side, this board of the upper plane of the loop conductor  $L$  was realized; the lower plane of which has been etched on one side of the board  $G_2$  with its other side being fully peel free. As a result, after assembling the filter, we have the following dimensions:  $w_a = w_N = 6.65$  mm,  $a = 2$  mm, and  $d = 1.5$  mm. Furthermore, a required equipotential surface of both of the loop conductor planes can be obtained by a solder through 12 pieces of 0.4-mm-diameter metalized holes (MHs), as shown in Fig. 5. The connectors for the strip lines were four Russian "Э2 – 116/1" with the terminating strip-line width  $w_0$  equal to 2.7 mm. The detailed information of Russian connectors

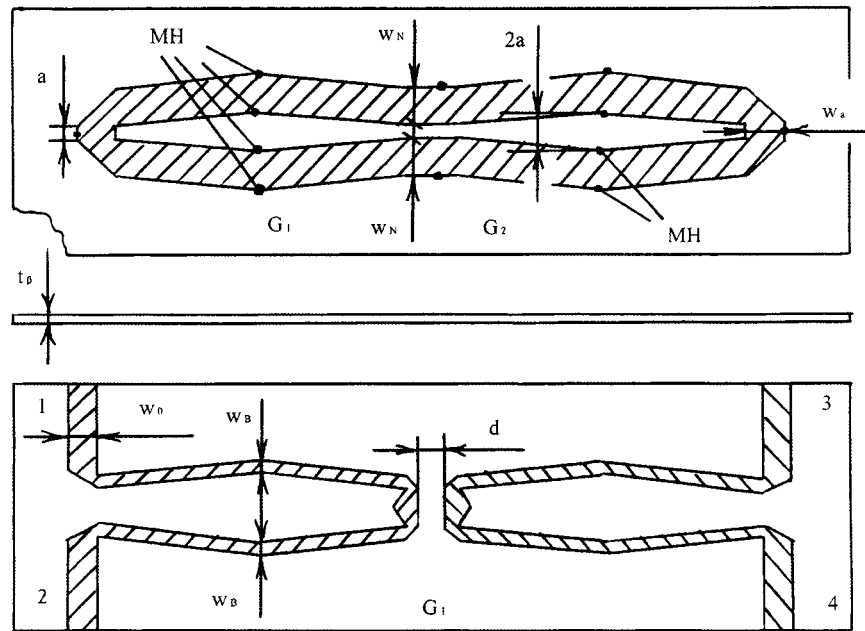


Fig. 5. First and second centered boards of the manufactured filter.

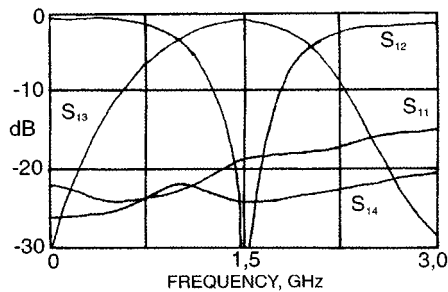


Fig. 6. Measured  $S$ -parameters of the reentrant directional filter.

and microwave materials has been published in Russian sources [12], [13].

The filter was tested on "P4-11" and "P4-38" Russian network analyzers, which confirmed a good agreement of experimental results with numerical solutions. Measured results for the scattering parameters shown in Fig. 6 were also satisfactory.

#### IV. CONCLUSION

As has been described, the novel reentrant directional filter presents to the microwave engineering a new type of multilayer circuit, which is relatively simple in realization and has acceptable frequency responses, return loss, and directivity. The full-wave analysis would be appropriate for achieving more accuracy in the special case when a thickness of the boards is very low and/or the operating frequencies are higher.

#### REFERENCES

- [1] G. L. Matthaei, L. Young, and E. M. T. Jones, *Microwave Filters, Impedance Matching Networks, and Coupling Structures*. New York: McGraw-Hill, 1972, vol. 1/2.
- [2] W. B. Weir and D. K. Adams, "Wide-band multiplexers using directional filters," *Microwaves*, pp. 44–50, May 1969.
- [3] F. S. Coale, "A traveling-wave directional filter," *Trans. IRE Microwave Theory Tech.*, vol. MTT-4, pp. 256–260, Oct. 1956.
- [4] J. L. B. Walker, "Exact and approximate synthesis of TEM-mode transmission-type directional filters," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-26, pp. 186–192, Mar. 1978.
- [5] A. P. Gorbachev and A. M. Kuprijanov, "Broadband directional filters and multiplexers using tandem couplers," *Radiotekhnika*, vol. 38, pp. 74–76, Nov. 1983.
- [6] —, "Analysis of one- and two-loop directional filters of the novel structures," *Radiotekhnika*, vol. 41, pp. 47–50, May 1986.
- [7] R. K. Settaluri, A. Weisshaar, C. Lim, and V. K. Tripathi, "Design of compact multilevel folded-line RF couplers," *IEEE Trans. Microwave Theory Tech.*, vol. 47, pp. 2331–2339, Dec. 1999.
- [8] J. Reed and G. J. Wheeler, "A method of analysis of symmetrical four-port networks," *Trans. IRE Microwave Theory Tech.*, vol. MTT-4, pp. 246–252, Oct. 1956.
- [9] R. Levy, "Directional couplers," in *Advances in Microwaves*. New York: Academic, 1970, vol. 1.
- [10] S. B. Cohn, "The re-entrant cross section and wide-band 3-dB hybrid couplers," *IEEE Trans. Microwave Theory Tech.*, vol. MTT-11, pp. 254–258, July 1963.
- [11] P. E. Gill, W. Murray, and M. H. Wright, *Practical Optimization*. New York: Academic, 1985.
- [12] S. I. Bacharev, V. I. Volman, and J. N. Lib, *Handbook on Design and Realization of Microwave Strip Networks*. Moscow, Russia: Radio/Swjaz, 1982.
- [13] A. P. Gorbachev, *Multi-Element Directional Couplers and Their Applications in Radio Techniques*. Novosibirsk, Russia: NSTU Press, 1996.